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Agenda item 121

REVIEW OF THE EFFICIENCY OF THE ADMINISTRATIVE AND FINANCIAL FUNCTIONING OF THE UNITED NATIONS

Draft resolution submitted by the Chairman following informal consultations

I

The General Assembly,

Having considered the relevant reports submitted under the item on the review of the efficiency of the administrative and financial functioning of the United Nations, 1/

Mindful of the need for sustained efforts to improve the efficiency of the administrative and financial functioning of the United Nations,

Stressing that the late issuance of documentation has hampered consideration by the General Assembly of important issues, and that the Secretary-General should ensure that all future reports are issued on time,

A

MANDATES AND PREROGATIVES

1. Expresses concern at the inadequate implementation of General Assembly mandates in some cases and the undertaking of non-mandated measures in other cases;

2. Stresses again the importance of sustained, timely and substantive dialogue and consultations between the Member States and the Secretary-General;

1/ A/48/277; A/48/420 and Add.1 and Corr.1; A/48/428; A/48/452; A/48/640; A/48/16 (Part II); A/C.5/48/2 and A/C.5/48/9 and Corr.1 and Add.1.

B

PROGRAMME PLANNING

1. Takes note of the report of the Secretary-General; 2/

2. Reaffirms that the medium-term plan for the period 1992-1997, originally approved by the General Assembly in its resolution 45/253 of 21 December 1990 and revised under the terms of its resolution 47/214 of 23 December 1992, constitutes the principal policy directive of the United Nations, as set out in regulation 3.3 contained in resolution 37/234 of 21 December 1982;

3. Notes that in its present format the medium-term plan has a limited impact on the work of the Organization;

4. Regrets that a prototype of a new format of the medium-term plan has not been provided as requested by the General Assembly in its resolution 47/214;

5. Notes with appreciation the recommendation by the Committee for Programme and Coordination that consideration be given to the possibility of replacing the current medium-term plan by a document prepared along a different format as outlined in paragraph 233 of its report, 3/ reiterates its request to the Secretary-General to submit to the General Assembly, at its forty-ninth session, through the Committee for Programme and Coordination and the Advisory Committee on Administrative and Budgetary Questions, a prototype of a new format of the medium-term plan, taking into account the present resolution and the views expressed by Member States in the Fifth Committee, and decides to give this issue in-depth consideration at its forty-ninth session on the basis of the relevant documentation;

6. Requests the Secretary-General to prepare the proposed revisions to the medium-term plan, to be submitted to the General Assembly at its forty-ninth session, in accordance with regulation 3.11 of the Regulations Governing Programme Planning, and taking into account the relevant conclusions and recommendations of the Committee for Programme and Coordination at its thirty-third session; 4/

2/ A/48/277.

3/ A/48/16 (Part II).

4/ Ibid., paras. 231-238.

C

RESTRUCTURING OF THE SECRETARIAT

1. Takes note of the report of the Secretary-General on restructuring and efficiency of the Secretariat; 5/

2. Also takes note of the indication by the Secretary-General in his statement to the Fifth Committee 6/ that the Secretariat can now enter into a phase of consolidation;

3. Regrets that the report of the Secretary-General does not provide an analysis of the effects of the restructuring on programmes as requested in General Assembly resolutions 46/232 of 2 March 1992 and 47/212 A and B of 23 December 1992 and 6 May 1993 and does not include proposals on decentralization measures;

4. Requests the Secretary-General to submit to the General Assembly at its forty-ninth session, through the Committee for Programme and Coordination and the Advisory Committee on Administrative and Budgetary Questions, an analytical report on all aspects of the restructuring of the Secretariat and its effects on the programmes, including the United Nations Conference on Trade and Development and Transnational Corporations;

5. Reaffirms paragraph 8 of section II of its resolution 47/212 B and requests the Secretary-General to take that paragraph into account in formulating his forthcoming proposals on decentralization measures;

6. Reiterates its requests relating to the International Trade Centre and the United Nations Centre for Human Settlements (Habitat), in paragraph 3 (b) and (c) of section I of its resolution 47/212 B, and stresses the need for the Secretary-General to implement fully and promptly the decisions of the General Assembly contained therein;

7. Recalls its resolution 44/201 A, section VIII, of 21 December 1989 on the desirability of the establishment of unified conference services in Vienna;

8. Stresses the need for the establishment of unified conference services in Vienna as soon as possible and requests the Secretary-General to report on their establishment no later than at its forty-ninth session;

D

HIGH-LEVEL POSTS

1. Stresses that, once approved by the General Assembly, high-level posts should be filled promptly in order to enable the relevant entities to function

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5/ A/48/428.

6/ A/C.5/48/SR.24.

properly and undertake the implementation of their mandates without undue delays;

2. Decides to keep under review the number and distribution of high-level posts, including those financed from extrabudgetary resources, and requests the Secretary-General to provide a clear rationale for the establishment of such posts in the context of future proposals he may make;

3. Decides in the context of paragraph 6, part C, of the present resolution to maintain the current approved senior management arrangement for Habitat;

E

IMPROVEMENT OF THE MANAGEMENT OF THE UNITED NATIONS

1. Takes note of the note of the Secretary-General on procedures and norms for the creation, suppression, reclassification, conversion and redeployment of posts, 7/ regrets that the Secretary-General did not provide a report on those issues and further requests that such a report be submitted, through the Committee for Programme and Coordination and the Advisory Committee on Administrative and Budgetary Questions, to the General Assembly at its forty-ninth session;

2. Also takes note of the report of the Secretary-General on accountability and responsibility of programme managers in the United Nations, 8/ and regrets that the report does not provide an adequate response to the requests of the General Assembly in resolutions 46/185 B and 46/189 of 20 December 1991, resolution 47/212 B of 6 May 1993 and in section V, paragraph 2 of its resolution 47/214 of 23 December 1992;

3. Takes note with appreciation of the report of the Joint Inspection Unit on accountability and oversight in the United Nations Secretariat 9/ and of the comments of the Secretary-General thereon; 10/

4. Endorses the recommendations of the Committee for Programme and Coordination on the establishment of a transparent and effective system of accountability and responsibility no later than 1 January 1995, as contained in paragraphs 243 to 245 of its report; 3/

5. Requests the Secretary-General to include in the system of accountability and responsibility the following elements, taking into account relevant experiences within and outside the United Nations system:

7/ A/C.5/48/2.

8/ A/48/452.

9/ A/48/420.

10/ A/48/420/Add.1 and Corr.1.

(a) The establishment of clear responsibility for programme delivery, including performance indicators as a measure of quality control;

(b) A mechanism ensuring that programme managers are accountable for the effective management of the personnel and financial resources allocated to them;

(c) Performance evaluation for all officials, including senior officials, with objectives and performance indicators;

(d) Effective training of staff in financial and management responsibilities;

6. Requests the Secretary-General to submit a report on the establishment of the system to the General Assembly at its forty-ninth session through the Committee for Programme and Coordination and the Advisory Committee on Administrative and Budgetary Questions.

II

The General Assembly,

Recalling its responsibility under Article 17 of the Charter of the United Nations with regard to financial and budgetary matters,

Recalling also Article 97 of the Charter concerning the responsibility of the Secretary-General as chief administrative officer,

Recognizing the increased importance, cost and complexity of United Nations activities,

Also recognizing the need for an enhanced oversight function to ensure the effective implementation of these activities in the most cost-effective manner possible,

Recognizing further the need for adequate intergovernmental programme evaluation with full respect for existing legislative mandates,

1. Recalls the need for the establishment of a system of responsibility and accountability for United Nations officials, as called for in part E of section I of the present resolution;

2. Reaffirms the role of the Board of Auditors as an external control mechanism pursuant to resolution 74 (I) of 7 December 1946, other relevant resolutions of the General Assembly and the Financial Regulations and Rules of the United Nations, for oversight, monitoring and control by the General Assembly of the administrative and financial functioning of the United Nations;

3. Recognizes the role of the Joint Inspection Unit in accordance with its mandate, contained in resolution 31/192 of 22 December 1976;

4. Reaffirms the existing mandates of relevant intergovernmental and expert bodies of the General Assembly in the field of administration, budgetary and management matters;

5. Also reaffirms its decision 47/454 of 23 December 1992;

6. Emphasizes the need to ensure respect for the separate and distinct roles and functions of external and internal oversight mechanisms and also to strengthen the external oversight control mechanisms;

7. Stresses that oversight mechanisms should guarantee full respect for the individual rights of staff members and due process of law;

8. Requests the Panel of External Auditors of the United Nations, the specialized agencies and the International Atomic Energy Agency and the Board of Auditors to provide their views on how oversight functions could be improved, according to current reporting procedures, and in this regard decides to consider the relevant report of the Joint Inspection Unit; 11/

9. Resolves that the decision to establish an additional independent entity, taking into account Article 97 of the Charter, to enhance oversight functions, in particular with regard to evaluation, audit, investigation and compliance, be taken subject to the definition of its modalities, including its relationship with existing control mechanisms;

10. Stresses, in this regard, that any administrative structure should be aimed at ensuring efficiency and cost effectiveness, especially with regard to programme delivery;

11. Decides, in this regard, to continue consideration of this issue at the earliest possible opportunity during its current session.

III

The General Assembly,

Stressing the necessity of proper management of resources and funds of the United Nations,

Determined to address alleged cases of fraud in the United Nations in an impartial manner, in accordance with due process of law and full respect for the rights of each individual concerned, especially the rights of defence,

Taking note of the views expressed by Member States during its forty-eighth session,

1. Decides to study the possibility of the establishment of a new jurisdictional and procedural mechanism or of the extension of mandates and

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11/ A/48/420.

improvement of the functioning of existing jurisdictional and procedural mechanisms;

2. Decides also to this end to establish an ad hoc intergovernmental working group of experts in the legal and financial fields which will work in consultation with the relevant existing bodies and will submit a report to the General Assembly with specific recommendations no later than its forty-ninth session;

3. Further decides that the group will consist of 25 members and invites the President of the General Assembly to define the composition of the working group, with due regard for equitable geographical representation, and to convene it as soon as possible and no later than 31 March 1994;

4. Requests the Secretary-General to provide the group with the necessary services;

5. Also requests the Secretary-General to seek the views of Member States on the issue identified in paragraph 1 above, and to bring these views to the attention of the ad hoc intergovernmental working group of experts as well as to the attention of the General Assembly;

6. Invites Member States to make voluntary contributions to fund the activities of the above-mentioned working group;

7. Decides to defer consideration of the report of the Secretary-General on the recovery of misappropriated funds 12/ to the General Assembly at its resumed forty-eighth session and requests the Advisory Committee on Administrative and Budgetary Questions to comment on it.

12/ A/48/572.